



J0660 U.S. PTO

09-18-01

A

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

CISC041C1

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Richard A. Howes, entitled METHOD AND APPARATUS FOR MAINTAINING CONNECTION STATE BETWEEN A CONNECTION MANAGER AND A FAILOVER DEVICE, for a(n):

- () Original Patent Application.
- (X) Continuing Application (prior application not abandoned):
(X) Continuation () Divisional () Continuation-in-part (CIP)
of prior Application No. 09/107,261, filed June 30, 1998.
- (X) Please add after the title of the application "This is a
(X) Continuation () Divisional () Continuation-in-part (CIP)
of Application No. 09/107,261, filed June 30, 1998, which is hereby incorporated by reference."
- () This application claims the benefit of U.S. Provisional Application

Enclosed are:

- (X) Specification; 40 Total Pages. (X) Drawing(s); 11 Total Sheets.
- () Oath or Declaration:
() A Newly Executed Combined Declaration and Power of Attorney:
() Signed. () Unsigned. () Partially Signed.
() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 1.63(d)(2)).
- () Power of Attorney. (X) Return Receipt Postcard.
() Associate Power of Attorney. () A Check in the amount of \$ _____ for the Filing Fee.
() Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
() A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
() A Certified Copy of Priority Documents (if foreign priority is claimed).
() Statement(s) of Status as a Small Entity.
() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
() Request and Certification.
() Other: _____

PLEASE DO NOT CHARGE THE FILING FEE AT THIS TIME.

Respectfully submitted,

By: _____

Lee Van Pelt, Reg. No. 38,352

Date: September 12, 2001

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

By: _____

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J-0821 U.S. PTO
09/05/01
09/12/01

09954507-091201

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:) Attorney Docket No. CISC041C1
)
HOWES, et al.) Examiner: Unassigned
)
Application No: Unknown) Art Unit: Unassigned
)
Filed: September 12, 2001)
)
Title: METHOD AND APPARATUS FOR)
MAINTAINING CONNECTION STATE)
BETWEEN A CONNECTION MANAGER)
AND A FAILOVER DEVICE)
_____)

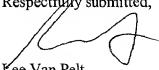
REQUEST AND CERTIFICATION
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,


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